SEC Form 4

Instruction 1(b).

FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Add HOLLAND	ress of Reporting P JOHN M	erson*		uer Name and Tick <u>TICAL CABI</u>	0	·		tionship of Reporti all applicable) Director	ng Person(s) to 10% (
(Last) 5290 CONCO	(First) URSE DRIVE	(Middle)		e of Earliest Transa 3/2022	action (Month/	Day/Year)		Officer (give title below)	Other below	(specify)
(Street) ROANOKE (City)	VA (State)	24019 (Zip)	4. If A	mendment, Date of	f Original Filed	(Month/Day/Year)	6. Indiv Line) X	idual or Joint/Grou Form filed by On Form filed by Mo Person	e Reporting Per	son
	٦	able I - Nor	n-Derivative S	ecurities Acq	uired, Disp	oosed of, or Benet	icially	Owned		
1. Title of Security (Instr. 3) 2. Transac Date				2A. Deemed Execution Date,	3. Transaction	4. Securities Acquired (A Disposed Of (D) (Instr. 3		5. Amount of Securities	6. Ownership Form: Direct	7. Nature of Indirect

	(Month/Day/Year)	if any (Month/Day/Year)	Code (8)	Instr.					(I) (Instr. 4)	Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1130.4)
Common Stock	04/08/2022		Α		6,841 ⁽¹⁾	Α	\$ <mark>0</mark>	129,380	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

								-				-			
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		n of		6. Date Exerc Expiration Da (Month/Day/N	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. Directors of the Company receive stock and cash as compensation for their services to the Company. Director received an award of 6,841 common shares issued under the Optical Cable Corporation 2017 Stock Incentive Plan for the stock portion of the annual retainer for the 2022-2023 board year. Unless otherwise set forth in the grant award, the 6,841 common shares are subject to complete forfeiture until they fully vest on April 9, 2023.

/s/ John M. Holland

** Signature of Reporting Person

04/12/2022

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.