SEC Form 4	
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FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to	•
	'
Section 16. Form 4 or Form 5	
obligations may continue. See	
Instruction 1(b).	

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

OMB APPR	OVAL
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			2. Issuer Name and Ticker or Trading Symbol <u>OPTICAL CABLE CORP</u> [OCC]	(Check	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
				X	Director	Х	10% Owner		
(Last) (First) (Midd		(Middle)	3. Date of Earliest Transaction (Month/Day/Year)	X	Officer (give title below)		Other (specify below)		
5290 CONCOURSE DRIVE			10/21/2016		Chairman, President and CEO				
(Street)			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line)	<i>v</i> idual or Joint/Group	Filing (Check Applicable		
ROANOKE	VA	24019		X	Form filed by One	Repor	ting Person		
(City)	(State)	(Zip)	—		Form filed by More Person	than (One Reporting		

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	Date Execution Date, T			4. Securities Disposed Of 5)	Acquired (D) (Instr.	(A) or 3, 4 and	5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1150.4)	
Common Stock	10/25/2016		Р		1,100	А	\$3.14	16,066	Ι	By Wilkin Capital Fund I, LLC ⁽¹⁾	
Common Stock	10/24/2016		р		4,000	A	\$3.09	14,966	I	By Wilkin Capital Fund I, LLC ⁽¹⁾	
Common Stock	10/21/2016		Р		1,100	A	\$3.06	10,966	I	By Wilkin Capital Fund I, LLC ⁽¹⁾	
Common Stock								907,500	D		
Common Stock								315	I	By Daughter #1 ⁽²⁾	
Common Stock								315	I	By Daughter #2 ⁽²⁾	
Common Stock								115	I	By Daughter #3 ⁽²⁾	
Common Stock								315	I	By Son	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			ate	7. Title Amour Securi Underl Deriva Securi and 4)	nt of ties ying tive ty (Instr. 3	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Expiratio Exercisable Date		Title	Amount or Number of Shares				

Explanation of Responses:

1. The shares are held by Wilkin Capital Fund I, LLC for the benefit of Neil D. Wilkin, Jr.

2. The reporting person disclaims beneficial ownership of all securities held by his children, and this report should not be deemed an admission that the reporting person is the beneficial owner of such securities for purposes of Section 16 or for any other purpose.

Remarks:

<u>/S/ Neil D. Wilkin, Jr.</u>

** Signature of Reporting Person

10/25/2016

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.