

**UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION**  
Washington, D.C. 20549

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**FORM SD**

**SPECIALIZED DISCLOSURE REPORT**

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**OPTICAL CABLE CORPORATION**

(Exact name of registrant as specified in its charter)

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**Virginia**  
(State or other jurisdiction of incorporation or  
organization)

**000-27022**  
(Commission File Number)

**54-1237042**  
(I.R.S. Employer Identification Number)

**5290 Concourse Drive**  
**Roanoke, VA**  
(Address of principal executive offices)

**24019**  
(Zip Code)

**Tracy G. Smith** **(540) 265-0690**  
(Name and telephone number including area code, of the person to contact in connection with this report)

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Check the appropriate box to indicate the rule pursuant to which this form is being filed, and provide the period to which the information in this form applies:

Rule 13p-1 under the Securities Exchange Act (17 CFR 240.13p-1) for the reporting period from January 1 to December 31, 2015.

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## Item 1.01 – Conflict Minerals Disclosure

### *Company Overview*

Optical Cable Corporation (or OCC<sup>®</sup>) manufactures a broad range of fiber optic and copper data communication cabling and connectivity solutions. OCC's products include fiber optic and copper cabling, fiber optic and copper connectors, specialty fiber optic and copper connectors, fiber optic and copper patch cords, pre-terminated fiber optic and copper cable assemblies, racks, cabinets, datacom enclosures, patch panels, face plates, multi-media boxes, and other cable and connectivity management accessories.

### *Conflict Minerals Disclosure*

OCC evaluated its current product lines and determined that certain products manufactured contain conflict minerals, defined by Section 1502(e)(4) of the Dodd-Frank Wall Street Reform and Consumer Protection Act of 2010 ("the Act") as columbite-tantalite (tantalum), cassiterite (tin), wolframite (tungsten) and gold.

Certain of the conflict minerals are contained in some of the wire and metal connector components used by OCC in the manufacturing process. On a more limited basis, OCC uses certain conflict minerals in the production of products in its fiber optic cable product lines.

OCC does not make direct purchases of raw ore or unrefined conflict minerals or any direct purchases from recycled or scrap sources, and makes no direct purchases in the Democratic Republic of the Congo ("DRC") or its adjoining countries. OCC has conducted a country of origin inquiry in good faith by identifying suppliers of the parts used in OCC products that are likely to contain conflict minerals and requesting that suppliers respond with a completed Electronic Industry Citizenship Coalition and Global e-Sustainability ("EICC/GeSI") Conflict Minerals Reporting Template. This template facilitates disclosure and communication of information regarding the origin of conflict minerals, smelters that provide material to a company's supply chain, and supplier due diligence.

OCC's due diligence measures included:

- Conducting a supply chain survey with all suppliers of components that contain conflict minerals using the EICC/GeSI Conflict Minerals Reporting Template.
- Tracking and reviewing responses to assess reliability.
- Following up with suppliers who failed to return responses or returned incomplete or inconsistent responses. OCC received valid responses from 35 of the 36 surveyed suppliers.
- Reviewing the smelters and refiners identified in the supply chain survey to determine location and certification of "conflict free" status.

Seventeen, or 49%, of the suppliers who responded to the survey reported that the conflict minerals in the parts they sell to OCC did not originate in the DRC or adjoining countries. However, the remaining suppliers reported that the minerals contained in their products may have originated in the DRC or adjoining countries, or were unable to specify the origin of the minerals. Please see the attached Conflict Minerals Report (Exhibit 1.01). Based on the information received, OCC does not have sufficient information to determine whether the necessary conflict minerals contained in our products directly or indirectly financed or benefited armed groups in the DRC or its adjoining countries.

A copy of OCC's conflict minerals disclosure herein is publicly available at: [www.occfiber.com](http://www.occfiber.com) under "Investor Relations".

### **Item 1.02 Exhibit**

The Conflict Minerals Report required by Item 1.01 is filed as Exhibit 1.01 to this Form SD.

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SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the duly authorized undersigned.

OPTICAL CABLE CORPORATION

By: /s/ TRACY G. SMITH

Name: Tracy G. Smith

Title: Senior Vice President and Chief Financial Officer

Dated: May 27, 2016

## CONFLICT MINERALS REPORT

Optical Cable Corporation (or OCC<sup>®</sup>) has performed the following due diligence measures to determine whether the conflict minerals included in our products financed or benefited armed groups in the Democratic Republic of the Congo (“DRC”) or its adjoining countries:

### **Step 1. Establish Strong Company Management Systems**

#### *Control Systems*

OCC engages in industry-wide initiatives to enhance supply chain responsibility and to keep abreast of industry best practices. OCC conducted a supply chain survey with all suppliers of components that contain conflict minerals using the EICC/GeSI Conflict Minerals Reporting Template. OCC then reviewed the results of the supply chain survey and retained documentation relevant to the use of any conflict minerals.

#### *Supplier Engagement*

OCC has a strong level of engagement with its direct suppliers and, when necessary, it directly engages their upstream suppliers. OCC expects our suppliers to practice responsible sourcing and to cooperate with OCC's conflict minerals reporting and due diligence efforts. OCC reviewed the smelters and refiners identified in the supply chain survey to determine location and certification of “conflict free” status.

OCC further followed up with suppliers who failed to return survey responses or returned incomplete or inconsistent responses.

### **Step 2. Identify and Assess Risks in the Supply Chain**

OCC engages with its direct suppliers and, when necessary, their upstream suppliers to evaluate the source of conflict minerals in its products. Upon receiving a supplier EICC Reporting Template, members of the OCC conflict minerals diligence team review the information for consistency and accuracy. As noted above, when a report warrants clarification or confirmation, a member of the OCC conflict minerals diligence team contacts the direct supplier, or in some cases their upstream supplier, to clarify or confirm the information and responses.

### **Step 3. Design and Implement a Strategy to Respond to Identified Risks**

OCC performs a risk assessment to identify areas of possible concern within its supply chain, evaluating areas of possible concern and deploying appropriate risk mitigation controls and strategies.

OCC continues to look for ways to enhance its conflict minerals compliance program, including the processes outlined above. In addition, OCC intends to continue collaborating with its suppliers to promote responsible sourcing of conflict minerals. To accomplish this objective, OCC will continue outreach and education efforts among its suppliers to promote industry best practices.

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One area for further mitigating risk in OCC's supply chain is identifying the countries of origin of all conflict minerals in its products. Several of OCC's suppliers provided responses at a supplier-wide level, and not specifically with respect to the component materials that these suppliers supplied to OCC. As a result, OCC has not been able to identify with certainty the countries of origin of all conflict minerals in its products. OCC intends to expand its supplier engagement efforts to encourage the inclusion of country of origin information for conflict minerals in all the applicable materials supplied to the company.

#### **Step 4. Carry Out Independent Third-Party Audit of Smelter/Refiner's Due Diligence Practices**

If necessary, and to the extent OCC could reasonably do so, OCC would provide information requested in connection with audits of conflict minerals smelters and refiners conducted by third parties.

#### **Step 5. Report Annually on Supply Chain Due Diligence**

This report constitutes OCC's annual report on its conflict minerals due diligence and is publicly available on the Company's website. The information contained in, and that can be accessed through, the website of the Company is not, and shall not be deemed to be, a part of this report or the related Form SD or incorporated into any other filings Optical Cable Corporation makes with the SEC.

The following table is a list of smelters, provided to OCC by its suppliers, of gold or tin used to manufacture OCC's products that may have been sourced from the DRC or its adjoining countries.

| PRODUCT MINERAL | SMELTER   | COUNTRY              |
|-----------------|---|----------------------|
| Gold            | Advanced Chemical Company                                     | UNITED STATES        |
| Gold            | Aida Chemical Industries Co., Ltd.                            | JAPAN                |
| Gold            | Aktyubinsk Copper Company TOO                                 | KAZAKHSTAN           |
| Gold            | Al Etihad Gold Refinery DMCC                                  | UNITED ARAB EMIRATES |
| Gold            | Allgemeine Gold- und Silberscheideanstalt A.G.                | GERMANY              |
| Gold            | Allgemeine Gold-und Silberscheideanstalt A.G.                 | GERMANY              |
| Gold            | Almalyk Mining and Metallurgical Complex (AMMC)               | UZBEKISTAN           |
| Gold            | AngloGold Ashanti Córrego do Sítio Mineração                  | BRAZIL               |
| Gold            | Argor-Heraeus SA  | SWITZERLAND          |
| Gold            | Asahi Pretec Corp   | JAPAN                |
| Gold            | Asahi Pretec Corporation                                      | JAPAN                |
| Gold            | Asahi Refining Canada Limited                                 | CANADA               |
| Gold            | Asahi Refining USA Inc.                                       | UNITED STATES        |
| Gold            | Asaka Riken Co., Ltd.   | JAPAN                |
| Gold            | Atasay Kuyumculuk Sanayi Ve Ticaret A.S.                      | TURKEY               |
| Gold            | Aurubis AG  | GERMANY              |
| Gold            | Bangko Sentral ng Pilipinas (Central Bank of the Philippines) | PHILIPPINES          |
| Gold            | Bauer Walser AG   | GERMANY              |
| Gold            | Boliden AB  | SWEDEN               |
| Gold            | C. Hafner GmbH + Co. KG                                       | GERMANY              |
| Gold            | Caridad   | MEXICO               |
| Gold            | CCR Refinery - Glencore Canada Corporation                    | CANADA               |
| Gold            | Cendres + Métaux SA   | SWITZERLAND          |
| Gold            | Chimet S.p.A.   | ITALY                |
| Gold            | Chugai Mining   | JAPAN                |

|      |   |                      |
|------|---|----------------------|
| Gold | Daejin Indus Co., Ltd.  | KOREA, REPUBLIC OF   |
| Gold | Daye Non-Ferrous Metals Mining Ltd.                                   | CHINA                |
| Gold | DODUCO GmbH   | GERMANY              |
| Gold | Dowa  | JAPAN                |
| Gold | DSC (Do Sung Corporation)   | KOREA, REPUBLIC OF   |
| Gold | Eco-System Recycling Co., Ltd.  | JAPAN                |
| Gold | Elemental Refining, LLC   | UNITED STATES        |
| Gold | Emirates Gold DMCC  | UNITED ARAB EMIRATES |
| Gold | Faggi Enrico S.p.A.   | ITALY                |
| Gold | Fidelity Printers and Refiners Ltd.                                   | ZIMBABWE             |
| Gold | Gansu Seemine Material Hi-Tech Co., Ltd.                              | CHINA                |
| Gold | Geib Refining Corporation   | UNITED STATES        |
| Gold | Great Wall Precious Metals Co., Ltd. of CBPM                          | CHINA                |
| Gold | Guangdong Jinding Gold Limited  | CHINA                |
| Gold | Guoda Safina High-Tech Environmental Refinery Co., Ltd.               | CHINA                |
| Gold | Hangzhou Fuchunjiang Smelting Co., Ltd.                               | CHINA                |
| Gold | Heimerle + Meule GmbH   | GERMANY              |
| Gold | Heraeus Ltd. Hong Kong  | CHINA                |
| Gold | Heraeus Precious Metals GmbH & Co. KG                                 | GERMANY              |
| Gold | Hunan Chenzhou Mining Co., Ltd.                                       | CHINA                |
| Gold | Hwasung CJ Co., Ltd.  | KOREA, REPUBLIC OF   |
| Gold | Inner Mongolia Qiankun Gold and Silver Refinery Share Company Limited | CHINA                |
| Gold | Ishifuku Metal Industry Co., Ltd.                                     | JAPAN                |
| Gold | Istanbul Gold Refinery  | TURKEY               |
| Gold | Japan Mint  | JAPAN                |
| Gold | Jiangxi Copper Company Limited  | CHINA                |
| Gold | Johnson Matthey Inc. (USA)  | UNITED STATES        |
| Gold | Johnson Matthey Limited   | CANADA               |
| Gold | JSC Ekaterinburg Non-Ferrous Metal Processing Plant                   | RUSSIAN FEDERATION   |
| Gold | JSC Uralelectromed  | RUSSIAN FEDERATION   |
| Gold | JX Nippon Mining & Metals Co., Ltd.                                   | JAPAN                |
| Gold | Kaloti Precious Metals  | UNITED ARAB EMIRATES |
| Gold | Kazakhmys Smelting LLC  | KAZAKHSTAN           |
| Gold | Kazzinc   | KAZAKHSTAN           |
| Gold | Kennecott Utah Copper LLC   | UNITED STATES        |
| Gold | KGHM Polska Mied• Spó³ka Akcyjna                                      | POLAND               |
| Gold | Kojima Chemicals Co., Ltd.  | JAPAN                |
| Gold | Korea Metal Co., Ltd.   | KOREA, REPUBLIC OF   |
| Gold | Korea Zinc Co. Ltd.   | KOREA, REPUBLIC OF   |
| Gold | Kyrgyzaltyn JSC   | KYRGYZSTAN           |
| Gold | L' azurde Company For Jewelry   | SAUDI ARABIA         |
| Gold | Lingbao Gold Company Limited  | CHINA                |
| Gold | Lingbao Jinyuan Tonghui Refinery Co., Ltd.                            | CHINA                |

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|------|---|--------------------|
| Gold | LS-NIKKO Copper Inc.  | KOREA, REPUBLIC OF |
| Gold | Luoyang Zijin Yinhui Gold Refinery Co., Ltd.                                | CHINA              |
| Gold | Materion  | UNITED STATES      |
| Gold | Matsuda Sangyo Co., Ltd.  | JAPAN              |
| Gold | Metahub Industries Sdn. Bhd.  | MALAYSIA           |
| Gold | Metalor Technologies (Hong Kong) Ltd.                                       | CHINA              |
| Gold | Metalor Technologies (Singapore) Pte., Ltd.                                 | SINGAPORE          |
| Gold | Metalor Technologies (Suzhou) Ltd.  | CHINA              |
| Gold | Metalor Technologies SA   | SWITZERLAND        |
| Gold | Metalor USA Refining Corporation  | UNITED STATES      |
| Gold | METALÚRGICA MET-MEX PEÑÓLES, S.A. DE C.V                                    | MEXICO             |
| Gold | Mitsubishi Materials Corporation  | JAPAN              |
| Gold | Mitsui Mining and Smelting Co., Ltd.  | JAPAN              |
| Gold | MMTC-PAMP India Pvt., Ltd.  | INDIA              |
| Gold | Morris and Watson   | NEW ZEALAND        |
| Gold | Moscow Special Alloys Processing Plant                                      | RUSSIAN FEDERATION |
| Gold | Nadir Metal Rafineri San. Ve Tic. A. <sup>ş</sup> .                         | TURKEY             |
| Gold | Navoi Mining and Metallurgical Combinat                                     | UZBEKISTAN         |
| Gold | Nihon Material Co., Ltd.  | JAPAN              |
| Gold | √gussa √sterreichische Gold- und Silber-Scheideanstalt GmbH                 | AUSTRIA            |
| Gold | Ohio Precious Metals, LLC   | UNITED STATES      |
| Gold | Ohura Precious Metal Industry Co., Ltd.                                     | JAPAN              |
| Gold | OJSC "The Gulidov Krasnoyarsk Non-Ferrous Metals Plant" (OJSC Krastsvetmet) | RUSSIAN FEDERATION |
| Gold | OJSC Kolyma Refinery  | RUSSIAN FEDERATION |
| Gold | OJSC Novosibirsk Refinery   | RUSSIAN FEDERATION |
| Gold | PAMP SA   | SWITZERLAND        |
| Gold | Penglai Penggang Gold Industry Co., Ltd.                                    | CHINA              |
| Gold | Prioksky Plant of Non-Ferrous Metals  | RUSSIAN FEDERATION |
| Gold | PT Aneka Tambang (Persero) Tbk  | INDONESIA          |
| Gold | PX Précinox SA  | SWITZERLAND        |
| Gold | Rand Refinery (Pty) Ltd.  | SOUTH AFRICA       |
| Gold | Republic Metals Corporation   | UNITED STATES      |
| Gold | Royal Canadian Mint   | CANADA             |
| Gold | SAAMP   | FRANCE             |
| Gold | Sabin Metal Corp.   | UNITED STATES      |
| Gold | Samduck Precious Metals   | KOREA, REPUBLIC OF |
| Gold | SAMWON Metals Corp.   | KOREA, REPUBLIC OF |
| Gold | SAXONIA Edelmetalle GmbH  | GERMANY            |
| Gold | Schone Edelmetaal B.V.  | NETHERLANDS        |
| Gold | SEMPSA Joyería Platería SA  | SPAIN              |
| Gold | Shandong Tiancheng Biological Gold Industrial Co., Ltd.                     | CHINA              |

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|------|--|--------------------|
| Gold | Shandong Zhaojin Gold & Silver Refinery Co., Ltd.    | CHINA              |
| Gold | Sichuan Tianze Precious Metals Co., Ltd.             | CHINA              |
| Gold | Singway Technology Co., Ltd.                         | TAIWAN             |
| Gold | So Accurate Group, Inc.                              | UNITED STATES      |
| Gold | SOE Shyolkovsky Factory of Secondary Precious Metals | RUSSIAN FEDERATION |
| Gold | Solar Applied Materials Technology Corp.             | TAIWAN             |
| Gold | Sudan Gold Refinery                                  | SUDAN              |
| Gold | Sumitomo Metal Mining Co., Ltd.                      | JAPAN              |
| Gold | T.C.A S.p.A  | ITALY              |
| Gold | Tanaka Kikinzoku Kogyo K.K.                          | JAPAN              |
| Gold | The Refinery of Shandong Gold Mining Co., Ltd.       | CHINA              |
| Gold | Tokuriki Honten Co., Ltd.                            | JAPAN              |
| Gold | Tongling Nonferrous Metals Group Co., Ltd.           | CHINA              |
| Gold | Tony Goetz NV  | BELGIUM            |
| Gold | Torecom  | KOREA, REPUBLIC OF |
| Gold | Umicore Brasil Ltda.                                 | BRAZIL             |
| Gold | Umicore Precious Metals Thailand                     | THAILAND           |
| Gold | Umicore SA Business Unit Precious Metals Refining    | BELGIUM            |
| Gold | United Precious Metal Refining, Inc.                 | UNITED STATES      |
| Gold | Valcambi SA  | SWITZERLAND        |
| Gold | Western Australian Mint trading as The Perth Mint    | AUSTRALIA          |
| Gold | WIELAND Edelmetalle GmbH                             | GERMANY            |
| Gold | Yamamoto Precious Metal Co., Ltd.                    | JAPAN              |
| Gold | Yokohama Metal Co., Ltd.                             | JAPAN              |
| Gold | Yunnan Copper Industry Co., Ltd.                     | CHINA              |
| Gold | Zhongyuan Gold Smelter of Zhongjin Gold Corporation  | CHINA              |
| Gold | Zijin Mining Group Co., Ltd. Gold Refinery           | CHINA              |
| Tin  | Alpha  | UNITED STATES      |
| Tin  | China Tin Group Co., Ltd.                            | CHINA              |
| Tin  | CNMC (Guangxi) PGMA Co., Ltd.                        | CHINA              |
| Tin  | Cooperativa Metalurgica de Rondônia Ltda.            | BRAZIL             |
| Tin  | CV Gita Pesona                                       | INDONESIA          |
| Tin  | CV Serumpun Sebalai                                  | INDONESIA          |
| Tin  | CV United Smelting                                   | INDONESIA          |
| Tin  | CV Venus Inti Perkasa                                | INDONESIA          |
| Tin  | Dowa   | JAPAN              |
| Tin  | EM Vinto   | BOLIVIA            |
| Tin  | Estanho de Rondônia S.A.                             | BRAZIL             |
| Tin  | Fenix Metals   | POLAND             |
| Tin  | Gejiu Non-Ferrous Metal Processing Co., Ltd.         | CHINA              |
| Tin  | Gejiu Zili Mining And Metallurgy Co., Ltd.           | CHINA              |
| Tin  | Huichang Jinshunda Tin Co., Ltd.                     | CHINA              |



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|-----|---|--------------------|
| Tin | Jiangxi Ketai Advanced Material Co., Ltd. | CHINA              |
| Tin | Linwu Xianggui Ore Smelting Co., Ltd.     | CHINA              |
| Tin | Magnu's Minerais Metais e Ligas Ltda.     | BRAZIL             |
| Tin | Malaysia Smelting Corporation (MSC)       | MALAYSIA           |
| Tin | Melt Metais e Ligas S/A                   | BRAZIL             |
| Tin | Metallo-Chimique N.V.                     | BELGIUM            |
| Tin | Mineração Taboca S.A.                     | BRAZIL             |
| Tin | Minsur                                    | PERU               |
| Tin | Mitsubishi Materials Corporation          | JAPAN              |
| Tin | Nankang Nanshan Tin Manufactory Co., Ltd. | CHINA              |
| Tin | Novosibirsk Processing Plant Ltd.         | RUSSIAN FEDERATION |
| Tin | O.M. Manufacturing (Thailand) Co., Ltd.   | THAILAND           |
| Tin | O.M. Manufacturing Philippines, Inc.      | PHILIPPINES        |
| Tin | Operaciones Metalurgica S.A.              | BOLIVIA            |
| Tin | PT Alam Lestari Kencana                   | INDONESIA          |
| Tin | PT Aries Kencana Sejahtera                | INDONESIA          |
| Tin | PT Artha Cipta Langgeng                   | INDONESIA          |
| Tin | PT Babel Inti Perkasa                     | INDONESIA          |
| Tin | PT Bangka Kudai Tin                       | INDONESIA          |
| Tin | PT Bangka Putra Karya                     | INDONESIA          |
| Tin | PT Bangka Timah Utama Sejahtera           | INDONESIA          |
| Tin | PT Bangka Tin Industry                    | INDONESIA          |
| Tin | PT Belitung Industri Sejahtera            | INDONESIA          |
| Tin | PT BilliTin Makmur Lestari                | INDONESIA          |
| Tin | PT Bukit Timah                            | INDONESIA          |
| Tin | PT DS Jaya Abadi                          | INDONESIA          |
| Tin | PT Eunindo Usaha Mandiri                  | INDONESIA          |
| Tin | PT Fang Di MulTindo                       | INDONESIA          |
| Tin | PT Inti Stania Prima                      | INDONESIA          |
| Tin | PT Justindo                               | INDONESIA          |
| Tin | PT Karimun Mining                         | INDONESIA          |
| Tin | PT Mitra Stania Prima                     | INDONESIA          |
| Tin | PT Panca Mega Persada                     | INDONESIA          |
| Tin | PT Pelat Timah Nusantara Tbk              | INDONESIA          |
| Tin | PT Prima Timah Utama                      | INDONESIA          |
| Tin | PT Refined Bangka Tin                     | INDONESIA          |
| Tin | PT Sariwiguna Binasentosa                 | INDONESIA          |
| Tin | PT Seirama Tin Investment                 | INDONESIA          |
| Tin | PT Stanindo Inti Perkasa                  | INDONESIA          |
| Tin | PT Sumber Jaya Indah                      | INDONESIA          |
| Tin | PT Timah (Persero) Tbk Kundur             | INDONESIA          |
| Tin | PT Timah (Persero) Tbk Mentok             | INDONESIA          |
| Tin | PT Tinindo Inter Nusa                     | INDONESIA          |
| Tin | Rui Da Hung                               | TAIWAN             |

|     |   |          |
|-----|---|----------|
| Tin | Soft Metais Ltda.                             | BRAZIL   |
| Tin | Thaisarco                                     | THAILAND |
| Tin | White Solder Metalurgia e Mineração Ltda.     | BRAZIL   |
| Tin | Yunnan Chengfeng Non-ferrous Metals Co., Ltd. | CHINA    |
| Tin | Yunnan Tin Group (Holding) Company Limited    | CHINA    |

After exercising due diligence, OCC was unable to determine whether the conflict minerals that may have been sourced from the DRC or its adjoining countries were from recycled or scrap sources or whether such conflict minerals directly or indirectly financed or benefited armed groups. Therefore, OCC is not required to obtain an independent private sector audit for the 2015 reporting period.

To improve its ability to conduct due diligence, OCC will continue to request completed EICC Reporting Templates from its suppliers and to emphasize its support of the reporting requirements.