FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL								
OMB Number:	3235-0287							
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

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1. Name and Address of Reporting Person*  SMITH TRACY G					2. Issuer Name and Ticker or Trading Symbol OPTICAL CABLE CORP [ OCC ]											olicable)	g Person(s) to Is		
(Last) (First) (Middle) 5290 CONCOURSE DRIVE					3. Date of Earliest Transaction (Month/Day/Year) 04/06/2015									X	Office	,	Other below	(specify	
(Street) ROANO			24019 Zip)		4. If	4. If Amendment, Date of Original Filed (Month/Day/Year)								Indivi ine) X	Form	ual or Joint/Group Filing (Check Applicable Form filed by One Reporting Person Form filed by More than One Reporting Person			
		Tabl	e I - No	n-Deriv	ative	Sec	uritie	s Acc	quired	, Dis	posed o	f, oı	r Bene	eficia	ally (	Owne	ed		
1. Title of Security (Instr. 3)  2. Transac Date (Month/Da					Exec ay/Year) if an		A. Deemed Recution Date, any Ionth/Day/Year)		Transaction Code (Instr. 5		4. Securities Acquired (A) Disposed Of (D) (Instr. 3, 4 5)			nd	5. Amount of Securities Beneficially Owned Following Reported		6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
									Code	v	Amount		(A) or (D)	Price	.	Transaction(s) (Instr. 3 and 4)			(11150.4)
Common Stock 04/06				04/06	/2015		A		40,218	(1)	A	A \$0.		271,177		D			
		Та									sed of, onvertib				y Ov	ned			
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deem Execution if any (Month/Da	Date,	4. Transacti Code (Ins 8)		5. Nur of Derive Secur Acqu (A) or Dispo of (D) (Instr. and 5	ative rities ired osed	6. Date I Expirati (Month/I	on Da		or		str. 3 ount	8. Price of Derivative Security (Instr. 5)	ative rity	9. Number of derivative Securities Beneficially Owned Following Reported Transaction (Instr. 4)	Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)

## Explanation of Responses:

1. Represents awards of restricted stock under the issuer's Second Amended and Restated 2011 Stock Incentive Plan. An award in the amount of 20,109 shares vests annually over approximately 3 years with the first vesting date being April 30, 2016. An award in the amount of 20,109 shares vests annually over approximately five years if certain performance goals are achieved in each of fiscal years 2016, 2017, 2018 and 2019 with the first possible vesting date being January 31, 2017.

(A) (D) Exercisable Date

## Remarks:

<u>/s/ Tracy G. Smith</u> <u>04/06/2015</u>

Shares

Title

\*\* Signature of Reporting Person Date

 $Reminder: Report \ on \ a \ separate \ line \ for \ each \ class \ of \ securities \ beneficially \ owned \ directly \ or \ indirectly.$ 

- $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Code V

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.